

## KING COUNTY

1200 King County Courthouse 516 Third Avenue Seattle, WA 98104

## Signature Report

May 21, 2013

## Ordinance 17588

	Proposed No. 2013-0	1215.5	Sponsors Fatterson
1	AN ORD	INANCE creating a dep	partment of public defense
2	within the	e executive branch; ame	ending Ordinance 11955,
3	Section 6	, as amended, and K.C.	C. 2.16.130, Ordinance 8257,
4	Section 2	, as amended, and K.C.	C. 2.60.020, Ordinance 383,
5	Section 5	, as amended, and K.C.	.C. 2.60.050 and Ordinance
6	10167, S	ection 1, as amended, ar	nd K.C.C. 2.60.054, adding
7	new secti	ons to K.C.C. chapter 2	2.60, adding a new section to
8	K.C.C. T	itle 4A, repealing Ordir	nance 14412, Section 3, as
9	amended	, and K.C.C. 2.60.027,	Ordinance 8257, Section 3, and
10	K.C.C. 2	.60.030, Ordinance 383	3, Section 4, as amended, and
11	K.C.C. 2	.60.040, Ordinance 383	, Section 6, as amended, and
12	K.C.C. 2	.60.060 and Ordinance	8257, Section 6, and K.C.C.
13	2.60.070	and declaring an emer	gency.
14	BE IT ORDA	AINED BY THE COUN	NCIL OF KING COUNTY:
15	SECTION 1	Findings:	
16	A. Public de	fense services are mand	dated by the United States Constitution, the
17	Washington State C	onstitution and state lav	V.

18	B. The Sixth Amendment of the United States Constitution and Article I, Section
19	22, of the Washington state Constitution guarantee assistance of counsel to every citizen
20	accused of a matter where loss of liberty is possible.
21	C. Since the 1970s, King County has contracted with private, nonprofit
22	corporations for the provision of most indigent defense services. These private, nonprofit
23	corporations were viewed by the county and the corporations as independent contractors
24	and as a result the employees of the private, nonprofit corporations were not considered
25	county employees and did not receive county benefits nor were they enrolled in the
26	Public Employees' Retirement System (PERS).
27	D. In January 2006, a class action lawsuit was filed against King County,
28	alleging that the employees of the private, nonprofit corporations were county employees
29	and that King County had a duty to enroll them in PERS.
30	E. In February 2009, a Pierce county superior court judge entered an injunction
31	requiring employees of the King County public defense contractors to be enrolled in
32	PERS. Enforcement of that injunction was stayed on appeal.
33	F. In August 2011, the Washington Supreme Court affirmed the trial court's
34	decision in a five to four decision. The county's motion for reconsideration, which was
35	supported by the state of Washington as amicus curiae, was denied.
36	G. In March 2012, the trial court entered an order requiring King County to
37	enroll the then-current employees of the private, nonprofit corporations in PERS. The
38	county complied with this order.
39	H. On March 18, 2013, the King County council adopted Ordinance 17537

approving a proposed settlement agreement that would recognize the current employees

- of the private, nonprofit corporations as county employees on July 1, 2013. That settlement is still subject to and awaiting final and nonappealable judicial approval.
  - I. The county intends to maintain the high quality of public defense services that public defense attorneys and staff have delivered and to which King County has long been committed, by promoting independence from political influence, a quality work force and operational efficiency in the provisions of public defense services.
    - J. The county values the expertise and interest of public defenders and recognizes the contributions they have made to establish a foundation of a public defense service system on which to build a new structure. The county desires to work with the agency directors in a close and collaborative process that achieves the county's goals for public defense during the transition to a new management structure.
    - K. The Raising Our Youth As Leaders (ROYAL) project is a program shown to be effective at reducing recidivism in King County youth. The office of public defense expects the department of public defense to be able to continue the contract with the department of community and human services on at least an interim basis. This will ensure the continuation of this project during the public defense transition.
    - L. In order to maintain the continuity of services that meet its principles, the county needs to ensure that current and future clients have access to public defense services without disruption, as the settlement agreement is implemented.
    - M. The proposed class action settlement calls for the county to recognize the employees who are working for the public defense contractors as of June 30, 2013 as King County employees with full benefits for their positions on July 1, 2013 ("the recognition date"). To meet the recognition date obligation and to provide a structure to

administer the public defense program, which shall be an executive department with
divisions until such time that the council directs implementation of an alternative
structure, enactment of this ordinance as an emergency ordinance is necessary.

- SECTION 2. Ordinance 11955, Section 6, as amended, and K.C.C. 2.16.130 are each hereby amended to read as follows:
- A. The department of community and human services is responsible to manage and be fiscally accountable for the community services division, mental health, chemical abuse and dependency services division((, the office of public defense)) and the developmental disabilities division.
  - B. The duties of the community services division shall include the following:
- Working in partnership with communities and other funders to develop,
  support and provide human services which emphasize prevention, early intervention and
  community education, and which strengthen individuals, families and communities in
  King County;
- 2. Managing programs which increase family self-sufficiency, enhance youth resiliency, reduce community violence and strengthen communities. The division shall also manage programs which address housing and community development needs, and help implement improvements identified in subarea and neighborhood plans for low and moderate income communities and population. Such programs are to include, but not be limited to, providing employment and training for youth and adults and providing assistance to indigent veterans and their families as authorized by chapters 41.02 and 73.08 RCW. This division shall administer the county's federal housing and community development funds and other housing and community development programs;

87	<ol><li>Developing housing and community development policies and programs to</li></ol>
88	implement the growth management policies throughout King County to provide
89	affordable housing to low and moderate income residents; and
90	4. Duties regarding the women's advisory board specified in K.C.C. 2.30.040.
91	C. The duties of the mental health, chemical abuse and dependency services
92	division shall include the following:
93	1. Managing and operating a system of mental health services for acutely
94	disturbed, seriously disturbed and chronically mentally ill children and adults;
95	2. Managing and operating a twenty-four-hour crisis response system, including
96	civil commitment as a last resort;
97	3. Providing treatment and rehabilitation service for alcoholism and for other
98	drug addictions under federal and state laws and King County ordinances;
99	4. Selecting appropriate agencies for the provision of mental health services
100	developing, implementing and monitoring the provision and outcomes of contracted
101	services;
102	5. Being responsible for resource management of a comprehensive mental
103	health system including provision of staff support to appropriate advisory boards, and
104	serving as liaison to federal, state, and other governments and relevant organizations in
105	carrying out planning and allocation processes;
106	6. Ensuring the continuing availability of appropriate treatment services for
107	eligible individuals with a single diagnosis of a mental illness or a substance use or
108	dependency disorder; and

109	7. Developing and maintaining a continuum of appropriate treatment services
110	for eligible individuals with dual diagnoses of both a mental illness and a substance use
111	or dependency disorder.
112	D. ((The duties of the office of public defense shall include those duties specified
113	in K.C.C. chapter 2.60.
114	E.)) The duties of the developmental disabilities division shall include the
115	following:
116	1. Managing and operating a system of services for persons with developmental
117	disabilities in accordance with relevant state statutes and county policies and to provide
118	staff support to the King County board for developmental disabilities; and
119	2. Negotiating, implementing and monitoring contracts with community
120	agencies for the provision of developmental disabilities services.
121	SECTION 3. Ordinance 8257, Section 2, as amended, and K.C.C. 2.60.020 are
122	each hereby amended to read as follows:
123	((There is hereby established within the department of community and human
124	services the office of public defense. A public director of the office of public defense
125	shall be appointed by the county executive and approved by the county council. The
126	county executive shall consult with representatives of the criminal justice system and the
127	broader community during the recruitment and selection of the appointee.)) A. The
128	department of public defense is responsible to manage and be fiscally accountable for the
129	provision of public defense services. The department should have four divisions.
130	B. The duties of the department of public defense shall include:

131	1. Providing legal defense services in accordance with K.C.C. 2.60.050 and
132	other provisions of this chapter;
133	2. Providing legal defense services in an efficient manner that ensures effective
134	representation at reasonable cost to the county;
135	3. Investigating and determining eligibility for legal defense services through
136	the department. In addition, the department shall secure reimbursement from eligible
137	persons, including the parents of juveniles receiving legal defense service through the
138	department, when the person can afford to pay some or all of the cost to King County of
139	providing them such legal defense services;
140	4. Establishing and maintaining an assigned counsel panel that includes
141	attorneys acceptable to the department who wish to participate in the defense of persons
142	eligible for services through the department;
143	5. Assigning cases to assigned counsel where conflicts of interest or other
144	special circumstances exist which require use of assigned counsel; and
145	6. Preparing an annual budget for the department that evaluates and forecasts
146	service delivery levels and department expenses for service delivery, contractors,
147	assigned counsel and administration. Such evaluations and forecasts shall include an
148	analysis of the impact, if any, of changes in the procedures or practices of the courts,
149	prosecutor, police, or other elements of the criminal justice system.
150	C. The department may provide its services to the state of Washington, tribal
151	governments and municipalities in King County on a full cost recovery basis and is
152	authorized to negotiate appropriate contractual agreements, subject to council approval
153	by ordinance when required by law.

154	D. The department may provide services related to the Raising Our Youth As
155	Leaders (ROYAL) project and is authorized to enter into appropriate contractual
156	agreements.
157	NEW SECTION. SECTION 4. There is hereby added to K.C.C. chapter 2.60 a
158	new section to read as follows:
159	The department of public defense shall be directed by a director who shall be
160	appointed by the executive and confirmed by the council. The duties of the director shall
161	include:
162	A. Managing the department of public defense;
163	B. Ensuring the department employs the needed technical and public defense
164	expertise to ensure effective delivery of public defense services;
165	C. Representing the executive in all city, county, state and federal forums where
166	the defense perspective is required;
167	D. Ensuring that the American Bar Association Ten Principles for a Public
168	Defense System guide the management of the department and development of
169	department standards for legal defense representation;
170	E. Following the Washington State Standards for Indigent Defense Services; and
171	F. Developing and maintaining appropriate standards and guidelines for the
172	qualifications and experience level of public defense attorneys and paraprofessionals.
173	SECTION 5. A. The council requests the executive to negotiate any agreements
174	related to implementation of the settlement agreement approved in Ordinance 17537 with
175	minimal disruption to client services, including but not limited to agreements related to
176	items in Attachment A.

B. The executive may pay the employees of the department the same rates of pay
the employees were earning at the public defender agencies until the executive
establishes final pay rates and schedules or, for represented employees, until such time as
the council ratifies collective bargaining agreements between the county and the union or
unions representing employees in the department.

C. If attorneys or staff of the private nonprofit public defender corporations who become county employees on July 1, 2013, are employed by the county in a job classification that has an assigned pay range that has a top step lower than the employees' rate of pay on June 30, 2013, the executive may pay the employees at the higher rate of pay. If the executive decides to allow the higher rate of pay, the employees' wage would be frozen until the top step of the pay range is greater than the employees' frozen rate of pay.

NEW SECTION. SECTION 7. There is hereby added to K.C.C. chapter 2.60 a new section to read as follows:

A. The department shall manage cases and assign counsel in a manner that avoids conflicts of interest. The department shall adopt a policy to determine when a conflict exists and to avoid conflicting representation. If the department is unable to provide representation when a conflict exists, the department may contract for services of outside counsel or assign counsel from the assigned counsel panel.

B. The director may also contract for services of outside counsel if the director finds it appropriate to seek outside defense services and funding is available, taking into account the projected annual fiscal needs of the department.

C. If the department contracts for services of outside counsel, any entity that is able to
provide the service may apply, including, but not limited to, private law firms, nonprofit entities,
or a public corporation formed by the county whose chartered duties include the provision of
public defense and related services.

<u>SECTION 8.</u> A. The public defense criminal justice reform advisory task force is hereby established.

- B. The purpose of the task force is to utilize the expertise of the local and regional public defense communities to obtain their recommendation on county options for preserving the type of innovative thinking and criminal justice reform efforts that have been a part of the nationally recognized work of nonprofit public defender agencies serving King county over the past forty years.
- C. 1. The task force shall issue a report and recommendation on the feasibility of the county using outside entities, such as one or more public corporations, nonprofit organizations or private corporations, to perform county public defense-related services that promote system improvements and efficiencies in the King county public defense system through innovative approaches to criminal justice reform. The report shall be advisory.
- The task force should examine county public defense-related services that would supplement, not replace, the work performed by the department of public defense.
- 3. In making its report and recommendation, the task force should consider the pros and cons of each approach that it examines and the ability of each approach to

efficiently and effectively promote system improvements and efficiencies compared to what could be achieved by the department.

- 4. The task force should give strong consideration in its analysis to the fact that indigent defense services are supported by the county's general fund; the task force should consider the financial cost of any recommended alternatives.
- 5. The report shall be based upon the assumptions that the public defense attorneys providing a majority of the county's indigent defense services will be county employees, and that the settlement that received preliminary judicial approval in the Dolan lawsuit will be the final settlement. The task force shall include in its report and recommendation an assessment of the steps necessary to ensure that the independent entity will not be treated as an "arm and agency" of King County under the Dolan decision and create a risk of liability to the county for actions of the independent entity including, but not limited to, benefits such as enrollment in or contributions to the Public Employees Retirement System.
- 6. The task force is encouraged to seek input from other organizations, including but not limited to inviting other organizations to attend task force meetings as appropriate.
- D. The task force shall consist of seven members, including one member appointed by the Washington state office of public defense, one member appointed by the King County Bar Association, two at-large members appointed by the executive, one member from the faculty of a Washington state law school appointed by the chair of the

council and two at-large members appointed by the chair of the council. The task force members shall be appointed by June 3, 2013.

- E. Task force members must have demonstrated interest and expertise in the subject of public defense. Members should also have knowledge of government operations and budget, a demonstrated history of working collaboratively to find solutions to complex problems and a willingness to commit the time necessary to attend meetings of the task force and to actively support development of the task force report.
- F. The task force shall have technical and administrative staff support provided by the executive and council. The task force shall file its report with the clerk of the council by August 30, 2013, who shall forward a copy to the executive, all councilmembers and the lead staff of the committee of the whole.
- G. The county council shall reimburse task force members for mileage at the standard county reimbursement rate for travel within the county to and from scheduled task force meetings. The county council shall provide parking space free of charge in the county garage to task force members while attending meetings at which task force business is conducted.
- <u>NEW SECTION. SECTION 9.</u> There is hereby added to K.C.C. Title 4A a new section to read as follows:
- The processing fee for a defendant requesting counsel at public expense under K.C.C. chapter 2.60 is twenty-five dollars. All processing fee payments received shall be credited to the county general fund.

<u>SECTION 10</u>. Ordinance 383, Section 5, as amended, and K.C.C. 2.60.050 are each hereby amended to read as follows:

Legal defense services through the ((public defense program))department shall be made available to all eligible persons for whom counsel is constitutionally required. In addition, legal defense services through the ((public defense program will))department shall be made available when funds are available ((therefor)): to ((all)) any eligible person((s when there may be some factual likelihood of)) in legal proceedings arising in King county that may result in ((such)) the person's loss of liberty by an act of King ((C))county or any of its agencies, including, but not limited to, a violation of any law of the ((S))state of Washington or ordinance of King ((C))county, juvenile matters, mental illness and similar commitment proceedings, revocations and habeas corpus proceedings when ((such))they arise in King ((C))county.

Legal defense services through the ((public defense program))department may be made available((, at his or her expense,)) to a person charged in King ((C))county with a felony of public notoriety, at his or her expense, when the court finds that the defendant is unable to employ adequate private counsel as a result of ((such)) the public notoriety. The ((administrator))director of the ((public defense program))department shall establish a reasonable fee for ((such))the legal defense services, subject to the approval of the court.

SECTION 11. Ordinance 10167, Section 1, as amended, and K.C.C. 2.60.054 are each hereby amended to read as follows:

A. A defendant requesting counsel at public expense shall pay a processing fee ((of twenty-five dollars)), as specified in section 9 of this ordinance, as reimbursement to ((King)) the ((C))county for the administrative costs and expenses incurred in the

processing of the application. The processing fee is payable at the time the request for
((public)) counsel is made to the ((office))department of public defense. Processing fees
are not refundable, even if the defendant is determined to be not eligible for counsel at
public expense. A defendant ((will))shall not be denied counsel because the defendant
cannot pay the processing fee. ((All processing fee payments received shall be credited to
the county current expense fund.))

- B. To be eligible to receive legal defense services at no cost through the public defense program, the person must be financially unable to obtain adequate representation without substantial hardship to the person and the person's family and there must be some factual likelihood that the person will be deprived of his or her liberty. If a person has some resources available that can be used to secure representation but not sufficient resources to pay the entire costs of private legal services without substantial hardship to the person and the person's family, the department of public defense shall determine how much the person shall pay for the legal defense services provided through the department of public defense.
- SECTION 12. A. Ordinance 14412, Section 3, as amended and K.C.C. 2.60.027 are each hereby repealed.
  - B. Ordinance 8257, Section 3, and K.C.C. 2.60.030 are each hereby repealed.
- 303 C. Ordinance 383, Section 4, as amended, and K.C.C. 2.60.040 are each hereby repealed.
- D. Ordinance 383, Section 6, as amended, and K.C.C. 2.60.060 are each hereby repealed.
  - E. Ordinance 8257, Section 6, and K.C.C. 2.60.070 are each hereby repealed.

SECTION 13. The county council finds as a fact and declares that an emergency exists and that this ordinance is necessary for the immediate preservation of public peace, health or

Attachments: A. Transition Items

safety or for the support of county government and its existing public institutions. 312 313 314 Ordinance 17588 was introduced on 4/29/2013 and passed as amended by the Metropolitan King County Council on 5/20/2013, by the following vote: Yes: 8 - Mr. Phillips, Mr. von Reichbauer, Mr. Gossett, Ms. Hague, Ms. Lambert, Mr. Dunn, Mr. McDermott and Mr. Dembowski No: 0 Excused: 1 - Ms. Patterson KING COUNTY COUNCIL KING COUNTY, WASHINGTON Larry Gossett, Chair ATTEST: Anne Noris, Clerk of the Council

## **Transition Items**

The following Office of Public Defense (OPD) transition items need to be addressed with the four private, nonprofit corporations in order to establish management terms within the framework of County policy and to ensure the continuity of County public defense service:

- 1. Resolution of contractual prepayment of open cases.
- 2. Completion of interim space planning for public defense staff, including any necessary lease agreements.
- 3. Determination of furniture, equipment and office supplies for public defense staff, including information technology equipment.
- 4. Completion of contract reconciliation for case services.
- Completion of case management system implementation and logistics to ensure the system is operational.
- 6. Establishment of attorney and staff communication protocols and resources.
- 7. Transition of King County employee administrative services.
- 8. Determination of the continuing status of current non-County contracts currently held by the private, nonprofit corporations with the State of Washington, the City of Seattle, and the tribal governments.
- 9. The determination of the continuing status of grants or contract services related to the social justice programs of the private, nonprofit corporations.